

From: Cagen, Stuart Z SCC
Sent: Thursday, September 6, 2001 5:29 PM (GMT)
To: Clegg, Patsy M SCC-CHSE-PC <pmclegg@Shell.Com>; Tsai, Shan SP SHLOIL-SPS <sptsai@Shell.Com>
Subject: FW: Financial oversight by Technical Committee

FYI

-----Original Message-----

From: Beatty, Patrick (PWBE) [SMTP:PWBE@chevron.com]

Sent: Thursday, September 06, 2001 12:24 PM

To: 'Cagen, Stuart Z SCC'

Subject: RE: Financial oversight by Technical Committee

Stuart.

Thanks for the reply. I think that there is merit in Shell's suggestion. I imagine that this may require a sit down meeting with the Technical Committee, the investigators and API financial staff to arrive at some mutually agreeable arrangement. Let's keep this in mind.

Patrick

> -----Original Message-----

> From: Cagen, Stuart Z SCC [SMTP:szcagen@Shell.Com]

> Sent: Thursday, September 06, 2001 9:52 AM

> To: 'Beatty, Patrick (PWBE)'

> Cc: Clegg, Patsy M SCC-CHSE-PC; Tsai, Shan SP SHLOIL-SPS; 'George

> Woodall'

> Subject: RE: Financial oversight by Technical Committee

>

> Pat:

>

> This message is sent on behalf of Shell, including Patsy (who cannot

> respond

> this week), Shan and myself.

>

> The idea to require semi-annual financial reporting (plus special

> reporting

> in conjunction with milestones) is a good one and is something we highly

> support. However, we (Shell) would further recommend that we (the

> consortium) should provide guidance to the investigators and their

> institutions regarding the nature of this reporting. I don't have

> specifics

> to offer right now, but the financial reporting has to be meaningful.

> This

> means that we not only need to be able to understand it, we should also be

> able to audit these financial reports in some rudimentary manner.

> Today's

> addition to your recommendation is that one of the committees - probably

> the

> technical committee - should take on an action to prepare a financial

> reporting guidance document for the investigators by the end of the year.

>

>
> Thanks
>
> Stuart
>
> Stuart Cagen, Ph.D., Staff Toxicology Advisor
> Shell Chemical Company, P.O. Box 4320, Houston, Texas 77210
> tel: 713-241-1407; fax: 713-241-3325; email: szcagen@shellus.com
> mobile: 832-309-1984
>
>
>
>
> > -----Original Message-----
> > From: Beatty, Patrick (PWBE) [SMTP:PWBE@chevron.com]
> > Sent: Wednesday, September 05, 2001 1:19 PM
> > To: 'BenzConsort-OC@listserve.api.org';
> > 'BenzConsort-TC@listserve.api.org'
> > Subject: FW: Financial oversight by Technical Committee
> > Importance: High
> >
> > Let's try this again.
> >
> > > -----Original Message-----
> > > From: Beatty, Patrick (PWBE)
> > > Sent: Wednesday, September 05, 2001 11:15 AM
> > > To: 'BenzCnsort-OC@listserve.api.org';
> > > 'BanzConsort-TC@listserve.api.org'
> > > Subject: FW: Financial oversight by Technical Committee
> > > Importance: High
> > >
> > > All,
> > >
> > > One of the issues that will arise in the financial agreements with the
> > > investigators will be the frequency with which there will be reports
> > from
> > > the investigators with respect to expenditures vs. progress. The
> > > Oversight Committee has charged the Technical Committee with
> > developing
> > a
> > > series of research and financial milestones by which to track progress
> > and
> > > ensure that spending is not getting out of hand. This raises the
> > question
> > > of how often the contracts/grants should require reports. Obviously
> > this
> > > requires balancing a frequency of reporting that allows timely
> > > notification of cost overruns but does not pose an undue burden on the
> > > investigators. The contracts are being developed at this time and I
> > > wanted to our two committees to provide some direction to API

> regarding

> > > the timing of financial reporting by the investigators.

> > >

> > > I would like to suggest that we have formal financial reports on a

> > > semiannual basis, e.g., January and July. One would be at the time

> of

> > > the annual project review meeting when presentations are made to the

> > > consortium committees and the Review Panels. I think that this would

> > > allow adequate matching of research milestones with projected

> expenses.

> > > In addition more informal reports could be obtained, for instance,

> when

> > > milestones are reached between reporting dates or when the University

> of

> > > Colorado makes payments to Fudan University. These could be simple

> > > notifications which would not require significant effort by the

> > > investigators.

> > >

> > > I would like to get feedback from both Technical and Oversight

> > Committees

> > > on this. Do you agree with the semiannual reporting? If not API will

> > > have to renegotiate the contracts with U. Colorado.

> > >

> > > A slightly more complicated situation will arise with the contracts

> > > directly between API and the Chinese for expenses for Otto Wong's

> > portion

> > > of the case control study. Otto does not have the administrative

> > > structure to handle billing and reimbursement of the Chinese

> > participants.

> > > API will have contracts directly with Fudan University for those

> items.

> > > There may also be contracts with the CDC and IPHS. I think there Otto

> > > will need to work with the API to coordinate payments with effort by

> the

> > > Chinese participants. I do not believe that development of those

> > > contracts have been initiated yet.

> > >

> > > Patrick

> > >

> > >

> > > Patrick W. Beatty Ph.D., D.A.B.T.

> > > (510) 242-7037 Office; (510) 242-7022 FAX

> > > Staff Toxicologist

> > > Petroleum Team

> > > Toxicology and Health Risk Assessment

> > > CRTC RIC 100 Bldg. 24-1125

> > > E-mail: pwbe@chevron.com

> > >