

SHERWIN-WILLIAMS PAINT, VARNISH AND LACQUER LABORATORIES

ROUTINE AND POLICY Consecutive No. 173

X258

R&P No. 173
Page 1 of 3
August 9, 1971

SUBJECT: EXPORTATION OF TECHNICAL DATA OUTSIDE OF THE CONTINENTAL UNITED STATES

"CAVEAT:" There is a particular responsibility and danger involved in sending or giving or communicating technical information abroad:

- (a) United States Security Regulations may be violated.
- (b) Patent rights of Sherwin-Williams may be lost.
- (c) License contract terms may be breached (breach of contract)

DEFINITIONS:

- A. Technical Data - Any scientific or technical information including model, design, photograph, photographic negative, document, article, or data containing a plan, specification, description, or technical information which can be used or adapted for use in any process, synthesis or operation for the production, utilization, or reconstruction of articles or materials.
 - 1. Classified Technical Data - Any material or information which has been officially assigned a security classification such as "Top Secret", "Secret", "Confidential" by an officer or agency of the United States Government.
 - 2. Unclassified Technical Data - All other technical data.
- B. Exportation of Technical Data - A release of unclassified technical data for use outside of the United States (except Canada) and includes actual shipment out of the United States as well as the furnishing of data within the United States to persons with the knowledge that the persons to whom it is furnished will take such data out of the United States.
- C. Country Group O - Consists of all locations in the Western Hemisphere
- D. Country Group R - Includes all other locations.

E. Sub Group A of Group R - All Soviet Bloc Countries

REGULATIONS FOR UNITED STATES SECURITY

- A. Classified data may not be transmitted at all without specific government approval.
- B. Canadian shipments require no export license from the Department of Commerce unless re-exportation to a third country via Canada will be effected. This includes classified information with only certain exceptions which relate to Military Aircraft.
- C. Unclassified technical data shipped to countries other than Canada or to Canada for purposes of re-exportation to a third country may be exported from the United States under one of two types licenses.
 - 1. Validated License - A document which authorizes the exportation of commodities within specific limitations set forth in the document and is issued only upon formal application in accordance with procedure set forth in the comprehensive export schedule.
 - 2. General License - A privilege which permits certain exportations within general limitations without requiring that an application be filed or that a licensed document be issued.

PROCEDURE:

NOTE 1:

**REDACTED FOR
PRIVILEGE**

NOTE 2: Any questionable or sensitive technical information should be forwarded via the Coatings Group Technical Director's Office, attention C. R. Martins.

- A. S-W Canada - Communicate directly, except as noted above.
- B. Distribution of formula cards, raw material specifications and test methods to foreign plants will be handled by the Technical Procedures Section in Cleveland.

R&P #173
Page 3 of 3
August 9, 1971

- C. Distribution of Monthly or similar periodic Technical Reports shall be in accordance with R&P #172, Monthly Technical Report Mailing List.
- D. Replies to letters and other communications shall be as follows: Two copies of the reply together with a blue envelope addressed according to R&P #172 and marked with the appropriate general license, (See Attachment A) should be sent to:
 - 1. J. W. Tuckerman, Technical Manager, International Operations, Cleveland when it concerns S-W Latin America, Spain, Netherlands, and the Philippines.
 - 2. Appropriate Technical Manager (Attachment B) or C. R. Martins, Coatings Group, Technical Director's Office, Cleveland, if no technical manager applies, when it concerns Dr. Kurt Herberts, Frank Armitage, Coil Coatings Licensees, France, Sweden, or foreign points other than those listed in (A) above.

GENERAL LICENSE DATA

ATTACHMENT A

Statements Above Upper Left
Address on Envelopes & Packages *

	CONTENT	DESTINATION
General License GTDW	Unpublished Technical Data including letters, formula cards, periodic technical reports, technical panels, exhibits, etc, Not of patentable significance.	Any place except the Soviet Block, Group R, Sub-Group A, and Poland including Danzig.
General License STDP	Published Technical Information including military and other government specifications, technical journals or papers reprinted therefrom, books, anything sold at newsstands or bookstores, available by subscription or purchase without restrictions to any person, available without cost to any person, granted second class mailing privileges by the U. S. Government, or freely available at public libraries.	All Destinations
General License PUB	Published Non Technical Data including Sales Catalogs, Color Cards, Books.	All Destinations
General License GTDS	Unclassified Scientific & Educational Data involving: 1. Dissemination of information not directly and significantly related to origin, production, and utilization in production processes, including such dissemination by correspondence and attendance at, or participation in meetings or 2. Instruction in academic institutions and academic laboratories	All Destinations

* Parcel Post should have one of the above statements plus "Export License Not Required" when the value of the package is under \$50. When the value is over \$50, the appropriate statement must be shown on the package and on the "Shipper's Export Declaration."

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ATTACHMENT B

R&P #173

LIST OF TECHNICAL MANAGERS

J. J. Lenzotti -Technical Manager, Trade/Painter Products Hdqtrs. 12M

#75 Chicago - A. W. Steudel Technical Center

G. L. Johnson -Technical Manager, Insulating & Electronic Coatings
D. K. Lutes -Technical Manager, Coil Coatings
L. J. Nicholas -Technical Manager, Industrial Maintenance
J. A. Marquart -Technical Manager, Automotive Refinishing

#83 Chicago - Research Center

R. T. Gabbert -Technical Manager, Bid Sales
R. K. Welge -Technical Manager, Electrodeposition

Friendship - #54 Greensboro, N.C.

A. W. Gilliam -Technical Manager, Furniture Finishes

#14 Gibbsboro

T. F. Flint -Technical Manager, Traffic Paints

#18 Oakland

J. A. Stephenson -Technical Manager, Forest Products
C. Lawlor -Technical Manager, Powder Coatings

0007-SWP-0059194

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