

# SHS Contract Tracking

| A <b>Open Contracts</b> |                                                                  |  | B                                                                  |
|-------------------------|------------------------------------------------------------------|--|--------------------------------------------------------------------|
|                         | Contract/Grant Name (current contract #)                         |  | Start - Expiration Dates                                           |
| 1                       |                                                                  |  |                                                                    |
| 2                       | <b><u>RESEARCH</u></b>                                           |  |                                                                    |
|                         | UCHSG 0100004788 for a total of \$13,665,017                     |  | Grant<br>October 2, 2001 - December 31, 2006                       |
| 3                       |                                                                  |  |                                                                    |
| 4                       | Irons - Field Expenses 2002-100092                               |  | January 1 - December 31, 2002<br>Amend Annually                    |
| 5                       | Applied Health Sciences 2002-100093 amendment 1                  |  | January 1 - December 31, 2003<br>Amend annually                    |
| 6                       | Fudan University 2002-100097                                     |  | January 1 - December 31, 2002<br>Amend Annually                    |
| 7                       | <b><u>Public Affairs</u></b>                                     |  |                                                                    |
| 8                       | Communications -APCO 2002-100098                                 |  | March 1, 2002 - February 28, 2003<br>Amend Annually                |
| 9                       | <b><u>Legal</u></b>                                              |  |                                                                    |
| 10                      | Steptoe & Johnson (Mark Fitzsimmons) 2002-100140                 |  | Retainer agreement Amend as needed                                 |
| 11                      | <b><u>Scientific Review Panel</u></b>                            |  |                                                                    |
| 12                      | Jerry M. Rice 2003100450                                         |  | February 1, 2003 - January 31, 2004 Amend Annually                 |
| 13                      | Harvey Checkoway 2002-100062                                     |  |                                                                    |
| 14                      | Richard Albertini 2002-100057                                    |  |                                                                    |
| 15                      | University of Aberdeen, Scotland (John Cherrie) 2002-100063      |  |                                                                    |
| 16                      | Robert Herrick 2002-100065                                       |  |                                                                    |
| 17                      | Richard Larson 2002-100066                                       |  |                                                                    |
| 18                      | Guilan Li 2002-100064                                            |  |                                                                    |
| 19                      | Ontario Cancer Institute (Mark Minden) 2002-100070               |  |                                                                    |
| 20                      | Helmut Greim 2003100541                                          |  |                                                                    |
| 21                      | Howard Rockette 2002-100068                                      |  |                                                                    |
| 22                      | <b><u>Ethics Review Panel</u></b>                                |  |                                                                    |
| 23                      | Baruch Brody 00-0000-4248 (API dues funds from seed money)       |  | October 1, 2000<br>Terminates upon written notice                  |
| 24                      | Juhana Idanpaan-Heikkila 2003100451                              |  | 1/24/2003 Terminates upon written notice                           |
| 25                      | Zongliang Xu 2003100483                                          |  | Pending                                                            |
| 26                      | <b><u>Consultant- Technical</u></b>                              |  |                                                                    |
| 27                      | Jim Vail 2002-100313                                             |  | October 1, 2002<br>Terminates upon written notice, amend as needed |
| 28                      | Committed as of September 30, 2003 Paid as of September 30, 2003 |  |                                                                    |

# SHS Contract Tracking

## Open Contracts

|    | C                                                                                                                                                                                                                                                      | D                            | E                               | F               | G                        | H         | I                           | J | K |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------------------|-----------------|--------------------------|-----------|-----------------------------|---|---|
|    | Payment Terms                                                                                                                                                                                                                                          | Total Previous Years' Payout | Contract / Grant Amount to Date | Paid to Date \$ | Contract / Grant Balance |           | Projected 5 Year Total Cost |   |   |
| 1  |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          |           |                             |   |   |
| 2  |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          |           |                             |   |   |
| 3  | 5 Year scheduled fund dispersal *<br>30% - Year 1 (paid in 2 installments)<br>25% - Year 2 (2002)<br>15% - Year 3 (2003)<br>15% - Year 4 (2004)<br>15% - Year 5 (2005)<br>Biannual Financial Reports from UCHSC<br>and Progress Reports from Dr. Irons | \$ 1,200,000.00              | \$7,515,759                     | \$7,515,759     | \$0                      |           | \$15,258,000                |   |   |
| 4  | Quarterly prepayments Biannual progress and financial reports (June 30 & December 31)                                                                                                                                                                  | \$ 142,110.00                | \$393,104                       | \$393,104       | \$0                      |           | \$1,024,394                 |   |   |
| 5  | Monthly Invoices Biannual progress and financial reports (June 30 & December 31)                                                                                                                                                                       | \$ 90,974.00                 | \$432,511                       | \$290,055       | \$142,456                |           | \$2,283,095                 |   |   |
| 6  | Invoice for services provided Biannual progress and financial reports (June 30 & December 31)                                                                                                                                                          | \$ 7,719.00                  | \$42,000                        | \$29,046        | \$12,954                 |           | \$419,589                   |   |   |
| 7  |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          |           |                             |   |   |
| 8  | Monthly Invoices Retainer = \$20,000/ Month Tasks = \$180,000 Expenses = \$40,000                                                                                                                                                                      | \$ 25,000.00                 | \$460,500                       | \$237,782       | \$222,718                |           | \$1,000,000                 |   |   |
| 9  |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          |           |                             |   |   |
| 10 | Invoice for services provided                                                                                                                                                                                                                          | -                            | \$20,000                        | \$14,804        | \$5,196                  |           | \$500,000                   |   |   |
| 11 |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          | SRP Total | \$648,000                   |   |   |
| 12 | Invoice for services provided                                                                                                                                                                                                                          |                              | \$20,000                        | \$9,692         | \$10,308                 |           |                             |   |   |
| 13 |                                                                                                                                                                                                                                                        |                              | \$9,200                         | \$2,422         | \$6,778                  |           |                             |   |   |
| 14 |                                                                                                                                                                                                                                                        |                              | \$8,000                         | \$0             | \$8,000                  |           |                             |   |   |
| 15 |                                                                                                                                                                                                                                                        |                              | \$10,400                        | \$0             | \$10,400                 |           |                             |   |   |
| 16 |                                                                                                                                                                                                                                                        |                              | \$9,200                         | \$0             | \$9,200                  |           |                             |   |   |
| 17 |                                                                                                                                                                                                                                                        |                              | \$9,200                         | \$2,636         | \$6,564                  |           |                             |   |   |
| 18 |                                                                                                                                                                                                                                                        |                              | \$10,400                        | \$1,300         | \$9,100                  |           |                             |   |   |
| 19 |                                                                                                                                                                                                                                                        |                              | \$9,200                         | \$0             | \$9,200                  |           |                             |   |   |
| 20 |                                                                                                                                                                                                                                                        |                              | \$20,000                        | \$0             | \$20,000                 |           |                             |   |   |
| 21 |                                                                                                                                                                                                                                                        |                              | \$9,200                         | \$0             | \$9,200                  |           |                             |   |   |
| 22 |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          | ERP Total | \$216,000                   |   |   |
| 23 | Invoice for services provided                                                                                                                                                                                                                          |                              | \$34,650                        | \$0             | \$34,650                 |           |                             |   |   |
| 24 |                                                                                                                                                                                                                                                        |                              | \$20,000                        | \$4,344         | \$15,656                 |           |                             |   |   |
| 25 |                                                                                                                                                                                                                                                        |                              | \$14,000                        | \$2,964         | \$11,036                 |           |                             |   |   |
| 26 |                                                                                                                                                                                                                                                        |                              |                                 |                 |                          |           |                             |   |   |
| 27 | Invoice for services provided                                                                                                                                                                                                                          |                              | \$25,000                        | \$21,971        | \$3,029                  |           | \$25,000                    |   |   |
| 28 | Committed as of September 30, 2003 Paid as of September 30, 2003                                                                                                                                                                                       |                              |                                 |                 |                          |           |                             |   |   |

SHS Contract Tracking

Open Contracts

A

B

|    |                                                                                                                                     |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------|--|
| 29 | TOTAL                                                                                                                               |  |
| 30 | * Tentative payment year is October 1 - September 30                                                                                |  |
| 31 | *** Contracts only. Does not include API administrative costs or anticipated contracts (eg. QA/QC; pathology/ cytology peer review) |  |
| 32 |                                                                                                                                     |  |

SHS Contract Tracking

Open Contracts

|    | C | D             | E           | F           | G         | H | I            | J   | K |
|----|---|---------------|-------------|-------------|-----------|---|--------------|-----|---|
| 29 |   |               | \$9,072,324 | \$8,525,879 | \$546,445 |   | \$21,374,078 | *** |   |
| 30 |   |               |             |             |           |   |              |     |   |
| 31 |   |               |             |             |           |   |              |     |   |
| 32 |   | \$ 657,635.00 |             |             |           |   |              |     |   |

# SHS Contract Matrix

## Closed Contracts

|    | A                                                                                                          |             | B                                     | C                               | D                            | E                |
|----|------------------------------------------------------------------------------------------------------------|-------------|---------------------------------------|---------------------------------|------------------------------|------------------|
| 1  | Contract/Grant Name (current contract #)                                                                   |             | Date<br>Contract Terminated           | Total Previous Years'<br>Payout | Committed Contract<br>Amount | Payments \$      |
| 2  | <b>RESEARCH</b>                                                                                            |             |                                       |                                 |                              |                  |
| 3  | Irons - Field Expenses                                                                                     | 0100004692  | 31-Dec-01                             | \$ 142,110.00                   | \$4,000                      | \$3,153          |
| 4  | Irons - Field Expenses                                                                                     | 0100004713  | 31-Dec-01                             |                                 | \$142,610                    | \$142,610        |
| 5  | Applied Health Sciences                                                                                    | 0100004695  | 31-Dec-01                             |                                 | \$7,000                      | \$7,000          |
| 6  | Applied Health Sciences                                                                                    | 0100004484  | 31-Dec-01                             | \$ 90,974.00                    | \$35,840                     | \$37,877         |
| 7  | Applied Health Sciences                                                                                    | 0100004787  | 31-Dec-01                             |                                 | \$84,025                     | \$84,025         |
| 8  | Applied Health Sciences                                                                                    | 2002-100093 | 31-Dec-02                             |                                 | \$435,514                    | \$435,356        |
| 9  | Fudan University - Case/Control Study                                                                      | 0100004784  | 31-Dec-01                             | \$ 7,719.00                     | \$10,435                     | \$10,435         |
| 10 | <b>Public Affairs</b>                                                                                      |             |                                       |                                 |                              |                  |
| 11 | Communications -APCO                                                                                       | 2002-100010 | Upon Completion of Task<br>01-June-02 | \$ 25,000.00                    | \$25,000                     | \$25,000         |
| 12 | <b>Scientific Review Panel</b>                                                                             |             |                                       |                                 |                              |                  |
| 13 | Jerry M. Rice                                                                                              | 0100004694  | 31-Dec-01                             |                                 | \$5,000                      | \$0              |
| 14 | Jerry M. Rice                                                                                              | 2002100069  | 31-Dec-02                             |                                 | \$4,800                      | \$0              |
| 15 | Richard Albertini                                                                                          | 0100004693  | 31-Dec-01                             |                                 | \$3,000                      | \$2,000          |
| 16 | University of Aberdeen, Scotland (John Cherrie)                                                            | 0100004769  | 31-Dec-01                             |                                 | \$5,000                      | \$0              |
| 17 | Robert Herrick                                                                                             | 0100004770  | 31-Dec-01                             |                                 | \$3,000                      | \$2,000          |
| 18 | Richard Larson                                                                                             | 0100004675  | 31-Dec-01                             |                                 | \$3,000                      | \$2,127          |
| 19 | Guilan Li                                                                                                  | 0100004742  | 31-Dec-01                             |                                 | \$8,500                      | \$8,496          |
| 20 | Zong-Liang, Xu                                                                                             | 0100004643  | 31-Dec-01                             |                                 | \$2,500                      | \$2,500          |
| 21 | Nancy Mueller                                                                                              | 2002-100067 | 31-Aug-03                             |                                 | \$10,400                     | \$0              |
| 22 | <b>Ethics Review Panel</b>                                                                                 |             |                                       |                                 |                              |                  |
| 23 |                                                                                                            |             |                                       |                                 |                              |                  |
| 24 |                                                                                                            |             |                                       |                                 |                              |                  |
| 25 | <b>Consultants</b>                                                                                         |             |                                       |                                 |                              |                  |
| 26 | Zhang, Yu                                                                                                  | 2003-100774 | 28-Aug-03                             |                                 | \$4,740                      | \$4,740          |
| 27 | <b>TOTAL</b>                                                                                               |             |                                       |                                 | <b>\$794,364</b>             | <b>\$767,319</b> |
| 28 |                                                                                                            |             |                                       |                                 |                              |                  |
| 29 | * Unspent balance is applied to the Consortium's "uncommitted" fund.                                       |             |                                       |                                 |                              |                  |
| 30 | ** Closed/Expired Contracts only. Does not include API administrative costs or other non contract expenses |             |                                       |                                 |                              |                  |
| 31 |                                                                                                            |             |                                       | \$ 2,183,378.00                 |                              |                  |
| 32 |                                                                                                            |             |                                       | \$ 657,635.00                   |                              |                  |

# SHS Contract Matrix

## Closed Contracts

|    | F  | G        | H |
|----|----|----------|---|
| 1  |    | Balance  |   |
| 2  |    |          |   |
| 3  |    | \$847    |   |
| 4  |    | \$0      |   |
| 5  |    | \$0      |   |
| 6  |    | -\$2,037 |   |
| 7  |    | \$0      |   |
| 8  |    | \$158    |   |
| 9  |    | \$0      |   |
| 10 |    |          |   |
| 11 |    | \$0      |   |
| 12 |    |          |   |
| 13 |    | \$5,000  |   |
| 14 |    | \$4,800  |   |
| 15 |    | \$1,000  |   |
| 16 |    | \$5,000  |   |
| 17 |    | \$1,000  |   |
| 18 |    | \$873    |   |
| 19 |    | \$4      |   |
| 20 |    | \$0      |   |
| 21 |    | \$10,400 |   |
| 22 |    |          |   |
| 23 |    |          |   |
| 24 |    |          |   |
| 25 |    |          |   |
| 26 |    | \$0      |   |
| 27 | ** | \$27,045 | * |
| 28 |    |          |   |
| 29 |    |          |   |
| 30 |    |          |   |
| 31 |    |          |   |
| 32 |    |          |   |

**NON Contract Payments**  
**September 30, 2003**

|    | A           | B | C                     | D | E         | F | G                                                     |
|----|-------------|---|-----------------------|---|-----------|---|-------------------------------------------------------|
| 1  |             |   |                       |   |           |   |                                                       |
| 2  | DATE        |   | NAME                  |   | AMOUNT    |   |                                                       |
| 3  |             |   |                       |   |           |   |                                                       |
| 4  | 6-Jun-02    |   | Rich Irons            |   | \$619     |   | Dow meeting                                           |
| 5  |             |   |                       |   |           |   |                                                       |
| 6  |             |   | Guilan Li & Yang Su   |   | \$2,000   |   | Honoraium                                             |
| 7  |             |   |                       |   |           |   |                                                       |
| 8  | 17-Oct-01   |   | Howard Rockette       |   | \$750     |   | SRP                                                   |
| 9  |             |   |                       |   |           |   |                                                       |
| 10 | 5-Oct-01    |   | Mark Minden           |   | \$1,000   |   | SRP                                                   |
| 11 |             |   |                       |   |           |   |                                                       |
| 12 | 10-Jan-02   |   | Nancy Mueller         |   | \$1,250   |   | SRP                                                   |
| 13 |             |   |                       |   |           |   |                                                       |
| 14 | 10-Oct-01   |   | Harvey Checkoway      |   | \$1,000   |   | SRP                                                   |
| 15 |             |   |                       |   |           |   |                                                       |
| 16 | 2001        |   | Language Innovations  |   | \$740     |   | SRP                                                   |
| 17 |             |   |                       |   |           |   |                                                       |
| 18 | 12-Jan-02   |   | EMBSI                 |   | \$20,239  |   |                                                       |
| 19 |             |   |                       |   |           |   |                                                       |
| 20 | 13-Jun-02   |   | U. Aberdeen (Cherrie) |   | \$40      |   | ERP                                                   |
| 21 |             |   |                       |   |           |   |                                                       |
| 22 |             |   | COMIRB                |   | \$3,600   |   |                                                       |
| 23 |             |   |                       |   |           |   |                                                       |
| 24 | 2001        |   | SRP meeting /misc     |   | \$5,757   |   |                                                       |
| 25 |             |   |                       |   |           |   |                                                       |
| 26 | subtotal    |   |                       |   | \$36,995  |   |                                                       |
| 27 |             |   |                       |   |           |   |                                                       |
| 28 | 2000 - 2002 |   | Meeting & misc        |   | \$6,869   |   |                                                       |
| 29 | 2000 - 2002 |   | API                   |   | \$258,263 | { | administration/overhead<br>through September 30, 2003 |
| 30 | 2003        |   | API                   |   | \$208,193 |   |                                                       |
| 31 | 2003        |   | Meeting & misc        |   | \$5,738   |   |                                                       |
| 32 | subtotal    |   |                       |   | \$479,063 |   |                                                       |
| 33 |             |   |                       |   |           |   |                                                       |
| 34 | TOTAL       |   |                       |   | \$516,058 |   |                                                       |

**From:** Bruce Jarnot [jarnotb@api.org]  
**Sent:** Tuesday, October 21, 2003 11:11 AM  
**To:** benzconsort-oc@listserve.api.org  
**Cc:** benzconsort-tc@listserve.api.org  
**Subject:** BHRC-OC... Shanghai contracts for review  
**Benzene Health Research Consortium - Oversight Committee (BHRC-OC)**

For those attending the Oct 28 Contract Review, attached are pdf copies of several contracts (with any modifications) for your preview:

- AHS - Contract, Addendum & Extension
- Albertini - Contract & Extension (a sample SRP/ERP contract)
- APCO - Contract & Addendum (2 files)
- Irons T&E - Contract & Extension
- UCHSC - Grant & Addendum

The Communication committee plans to review the APCO contract during their Thursday conference call, and the completed APCO contract review form will be circulated once it's available.

Best Regards - Bruce.

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