Memorandum Date: December 14, 1976

To: Donald K. Pelter Unit: Tax Department

From: Sharon L. Oberman Unit: OGC-OCS (New York)

Subject: John T. Lewis and Brothers Co. Merger into National Lead Company

The attached forms were sent to me from the State of Pennsylvania. They require corporate tax reports for John T. Lewis from January 1, 1950 through November 8, 1976.

Please send me copies of the required tax reports and complete a form for the current period, ending November 8, 1976.

Thank you.

SLO:fb
Attachments

c: J. T. Rafferty

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RCT-101 (12-74)

COMMONWEALTH OF PENNSYLVANIA

CAPITAL STOCK – LOANS – CORPORATE NET INCOME TAX REPORT

For Use by Domestic Corporations, Limited Partnerships, Joint-Stock Associations, etc.

For Calendar Year 19______ or Fiscal Year begun ______________ 19______ ended ______________ 19______

(PRINT OR TYPE PLAINLY BELOW BUSINESS NAME)

COMPUTATION OF CAPITAL STOCK TAX BY TAXPAYER

Proportion of Taxable Assets (From Insert Sheet, Apportionment Computation below, or Manufacturing Exemption Schedule)		Value of Capital Stock as Appraised by Officers of Company		Taxable Value of Capital Stock
______________	×	$ ______________	=	$ ______________

Tax at Rate of ten (10) mills .... $ ______________

Penalty (See Instruction Sheet) .... + ______________

TOTAL TAX AND PENALTY .... $ ______________

COMPUTATION OF LOANS TAX BY TAXPAYER

Taxable Indebtedness (Page 3) .... $ ______________

Tax at 4 mills .... $ ______________

Deduct treasurer's commission (See page 3) .... – ______________

a. Tax less commission .... $ ______________

b. Penalty (See Instruction Sheet) .... + ______________

TOTAL TAX AND PENALTY .... $ ______________

COMPUTATION OF CORPORATE NET INCOME TAX BY TAXPAYER

1. Income (from line 28 of copy of Federal Form 1120 - MUST BE ATTACHED) .... $ ______________
2. (a) Corporate Dividends received .... $ ______________
   (b) Interest on United States Securities .... $ ______________
   (c) Other allowable deductions (Submit Schedule) .... $ ______________ $ ______________
3. Line 1 less Line 2 .... $ ______________
4. Tax Preference Items (Per Instructions - Federal Form 4626 must be attached) .... $ ______________
5. If entire business is transacted in Pennsylvania reflect Pennsylvania Corporate Net Income Tax. (See Instructions) .... $ ______________
6. Taxable Income (Line #3 plus Lines 4 and 5) .... $ ______________
7. Tax 9½% of Line #6 .... $ ______________
8. If entire business is not transacted in Pennsylvania continue the computation below. (Omit Lines 4, 5, 6 and 7)
9. Tax Preference Items (Per Instructions – Federal Form 4626 must be attached) .... $ ______________
10. Add Lines 3 and 9 .... $ ______________
11. Total Non-Business Income (or Loss) (Table 4, Total of Column 3) .... $ ______________
12. Income to be apportioned (Line 10 plus Loss or Minus Income Reflected on Line 11.) .... $ ______________
13. Apportionment Percentage (From Computation Below) .... ______________
14. Income apportioned to Pennsylvania (Line 12 multiplied by Line 13) .... $ ______________
15. Non-Business Income Allocated to Pennsylvania (Table 4, Total of Column 1) .... $ ______________
16. Add Income or Deduct loss reflected on Line 15 to or from Line 14 .... $ ______________
17. Pennsylvania Corporate Net Income Tax (See Instructions) .... $ ______________
18. Pennsylvania Taxable Income (Line #16 plus Line #17) .... $ ______________
19. (a) Tax 9½% of Line #18 .... $ ______________
    (b) Penalty (See Instructions) .... + $ ______________
20. Total Tax and Penalty .... $ ______________

APPORTIONMENT PERCENTAGES

Divide (A) by (B) To Obtain Decimal
..Carry Out Decimal Six Places..

	CAPITAL STOCK TAX		C.N.I. TAX	
1.(A) Avg. value of Tangible Prop. in Pa. / (B) Avg. value of all Tangible Property	$ ______	= ______	$ ______	= ______
2.(A) Wages, Salaries, etc. assignable to Pa. / (B) Total Wages, Salaries, etc.	$ ______	= ______	$ ______	= ______
3.(A) Sales Assignable to Pa. / (B) Total Sales Everywhere	$ ______	= ______	$ ______	= ______
4. Total of Items 1, 2 and 3.. Divide by *Three .... *3)		______	.... *3)	______
5. Taxable Proportion (Express in Decimal)		______	....	______

*If only two of the above proportions apply, divide by 2; If only one of the above proportions applies, divide by 1.

I hereby affirm under penalties prescribed by law that this report (including any accompanying schedules and statements), has been examined by me and to the best of my knowledge and belief is a true, correct and complete report. If prepared by a person other than taxpayer, his declaration is based on all information of which he has any knowledge.

______________	______________	______________
Date	Signature of Officer	Title
______________	______________	______________
Date	Individual or Firm Signature of Preparer	Address

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N 27488.01

Corporation's books are located at ______ in care ______
Commercial Domicile (Principal place from which the trade or business of the taxpayer is directed or managed)

What basis of accounting is used? ______
For Federal Tax purposes? ______
Has the Federal Government changed the net income as originally reported for any prior year for which Reports of Change in Corporate Net Income have not been filed with Penna.? ______ (YES OR NO) If yes, indicate the year (s) ______

If all or a majority of the stock of this corporation is owned by another corporation, give name of such corporation; indicate if it files in Pennsylvania and, if so, give Box Number ______

If the reporting corporation owns all or a majority of the stock of any other corporations, list the names of such corporations; indicate which of these file reports in Pennsylvania (also list appropriate Box Numbers). (Submit Balance Sheets and Income Statements of Subsidiaries not filing in Penna.)

SCHEDULE A — NAME AND ADDRESS OF CORPORATION'S OFFICERS

TITLE	NAME	ADDRESS
President		
Vice-President		
Secretary		
Treasurer		

SCHEDULE B — LOCATION OF BUILDINGS AND LAND (TITLED IN THE CORPORATION'S NAME WITHIN PENNSYLVANIA)

STREET ADDRESS	CITY	COUNTY

SCHEDULE C — CAPITAL STOCK (Must be completed)

CLASS	PAR PER SHARE	*NO. SHARES OUTSTANDING	SELLING PRICE DURING YEAR HIGHEST	LOWEST	AVERAGE	IS THIS A MEAN OR WEIGHED AVERAGE?	NO. OF SHARES TRADED
Common	$		$	$	$		
Preferred							

Amount Paid in on Said Stock: In Cash $ ______ In Property $ ______ Total $ ______
Date and Amount of any issue since last Report ______
Date and Amount of any retirement of Stock since last Report ______
On which exchange (s) is this stock listed? ______

* This means shares issued and not held by the company in treasury.

SCHEDULE D — HISTORY OF EARNINGS AND DIVIDENDS (Must be completed)

CONSECUTIVE 5 YEAR PERIOD	BOOK NET INCOME AFTER FEDERAL TAXES (INDICATE LOSS)	DIVIDENDS IN CASH	IN KIND *	IN STOCK *
19......	$	$	$	$
19......				
19......				
19......				
19...... **				
TOTAL	$	$	$	$
AVERAGE	$	$	$	$

* Give descriptions.
** This year must be identical with the year for which this report was filed.

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LOANS TAX – SCHEDULE OF INDEBTEDNESS

1. This schedule must show all items of indebtedness of whatever nature outstanding during all or any fractional preceding calendar or fiscal year.
2. All figures required must be filled in. Where space is insufficient, submit schedule.
3. All questions on this page must be completely and properly answered.
4. Name and Address of Fiscal Officer performing his official duties in Pennsylvania ______________________

____

NAME OF HOLDER OF LOAN	Residence (State)	State whether indebtedness was held(a)In own right (b) As Trustee(c) As Agent If (b) or (c) indicate for whom held	Date Issued or Incurred	Amount of Indebtedness at beginning of period covered by this report *	Amount of Indebtedness at end of period covered by this report *	Statement of Interest Paid († Write 'None' if indebtedness is not interest bearing)		Taxable Indebtedness
						Rate † of Interest	Amount of Interest Paid	
Individual Residents of Pennsylvania or Partnerships								
Bank and Trust Companies								
Domestic Corporations								
Foreign Corporations and Non-Resident Individuals								
TOTALS								

Total of interest on above indebtedness shown as expense for the period covered by this report $______________________

Interest actually paid on above indebtedness during the same period .... ______________________

If difference, attach rider explaining the difference .... $______________________

Has interest been paid during the period covered by this report that was due and payable for prior years? ** ______________________ (YES OR NO)

If Yes, state the amount (s) on which such interest was paid and the periods covered by such payments; and the names and addresses of the holders of such obligation at the time that the interest payment fell due during such periods. (Attach rider if necessary on any of the above) ______________________

____

* If interest is paid at fixed interest-paying periods, use these columns to designate the amount of indebtedness at the end of the first interest period and the amount at the end of the second interest period.

** Under the Act of July 15, 1919, P.L. 954, whenever interest is paid for a prior period, the Treasurer of the corporation is required to report the names and locations of the holders thereof during such prior periods.

Treasurer's Commission is 5% on first $1,000 of tax or fractional part thereof; 1% on amount of tax over $1,000 but not over $2,000; ½ of 1% on amount of tax over $2,000. (See Computation of Loans Tax, page 1).

PENNSYLVANIA CAPITAL STOCK, LOANS AND CORPORATE NET INCOME TAX REPORT

BOX NO.

Calendar Year 19
or Fiscal Year ended
19____

Record Extensions here:

Received ____________

Calculated ____________

Copy mailed

MAILING INSTRUCTIONS

Mail settlement on account of this report to:

(To be typed in by Taxpayer)

Name ____________

Street ____________

CITY STATE ZIP CODE

(Affix below the printed adhesive label which has been supplied by the Bureau of Corporation Taxes. If this report is prepared by any party other than the taxpayer, please furnish the preparer with the labels which reflect the name, address and box number of this corporate account.)

DO NOT WRITE BELOW THIS LINE

SETTLEMENT - CAPITAL STOCK TAX (OFFICIAL)

For the ____________ year ended ____________

Proportion of Taxable Assets		Value of Capital Stock as appraised by Department of Revenue		Taxable Value of Capital Stock
$ ____ $	x	$ ____	=	$ ____
$ ____ $			=	____
$ ____ $			=	____
$ ____ $			=	____
Total of Proportions____)		____	=	____

Tax at rate of 10 mills................ $

Penalty (For failure to file report within time limit prescribed by law)................

Amount of this settlement................ $

TYPE OF TAX 01

SELF-ASSESSED TAX

TAX DEBIT OR CREDIT

PENALTY DEBIT 201

SETTLEMENT - LOANS TAX (OFFICIAL)

Tax on Scrip, Bonds and Other Indebtedness for____________ year ended ____________ 19____

Amount of Taxable Indebtedness................ $____

Tax at rate of 4 mills................ $____

Treasurer's Commission................ $____

Tax less Commission................

Penalty (For failure to file report within time limit prescribed by law)................

Amount of this settlement................ $

TYPE OF TAX 03

SELF-ASSESSED TAX

TAX DEBIT OR CREDIT

PENALTY DEBIT 203

SETTLEMENT - CORPORATE NET INCOME TAX (OFFICIAL)

For the ____________ year ended ____________

$ ____ =
$

$ ____ =
$

$ ____ =
$

Total of percentages

) ____

Apportionment percentage

Income to be apportioned................ $____

Amount of income apportioned to Pennsylvania................ $____

Add: Non Business Income Allocated to Pennsylvania................ $____

Pennsylvania Corporate Net Income Tax................ $____

Total taxable income in Pennsylvania................ $____

Tax at rate of 9½ per cent................ $

Penalty (For failure to file report within time limit prescribed by law................

Amount of this settlement................ $

TYPE OF TAX 04

SELF-ASSESSED TAX

TAX DEBIT OR CREDIT

PENALTY DEBIT 204

DEPARTMENT OF REVENUE

☐ CAPITAL STOCK TAX - LOANS AND CORPORATE NET INCOME TAX SETTLED AND DELIVERED TO THE AUDITOR GENERAL

Date ____________ 19____

(FOR THE SECRETARY OF REVENUE)

DEPARTMENT OF THE AUDITOR GENERAL

CAPITAL STOCK TAX- LOANS AND CORPORATE NET INCOME TAX AUDITED AND

☐ APPROVED

☐ DISAPPROVED

Date ____________ 19____

(FOR THE AUDITOR GENERAL)

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