

Nonroad Compression Ignition Engine - Field Inspection Worksheet

Instructions: This worksheet is for inspection of nonroad compression-ignition engines certified under 40 C.F.R Part 1039.
Fill it in as much as possible in the field during the inspection. It can be completed electronically after the inspection.

General Inspection Information

Insp. No:	20230710-01	Inspector(s):	Carrie Griffith, Lorianny Rivera, Victor Aguilar, Nathan Dancher
Insp. Date:	7/10/2023	Insp. Time Start/End:	3:30 PM EDT / 4:00 PM EDT
Inspection photo numbers:	001-014	Photographer:	Lorianny Rivera

Location of Inspection

CBP Facility Name:	Jacksonville Port of Entry	Location of Goods (e.g., warehouse #1):	Blount Island Marine Terminal
CBP Address 1:	10426 Alta Dr	CBP POC:	CBPO Karol Palenik
CBP Address 2:		CBP Email:	KAROL.PALENIK@CBP.DHS.GOV
CBP City/State/Zip:	Jacksonville, FL 32226		

Entry Docs Collected from CBP

☐	Entry/Immediate Delivery (CBP Form 3461)	☒	Invoice	☐	Other:	
☐	Declaration Form - Imp of Motor vehicles/Engines (CBP Form 3520-1)	☒	Packing List	☐	Other:	
☐	Importation of Engines, Vehicles, and Equipment subject to Air Pollution Regulations (CBP Form 3520-21)	☐	EPA Certificate of Conformity	☐	Other:	

Importer Information (from Entry Documents)

Importer		Check one: ☐ Consignee ☐ Buyer ☐ Seller ☐ Manufacturer	
Name:	Kobelco Construction Machinery U.S.A. Inc.	Name:	
Address:	22350 Merchants Way	Address:	
City/State/Zip:	Katy, TX 77449	City/State/Zip:	
POC:	holly.rink@kobelco.com	POC:	
ID Number:		ID Number:	
Check one: ☐ Consignee ☐ Buyer ☐ Seller ☐ Manufacturer		Check one: ☐ Consignee ☐ Buyer ☐ Seller ☐ Manufacturer	
Name:		Name:	
Address:		Address:	
City/State/Zip:		City/State/Zip:	
POC:		POC:	
ID Number:		ID Number:	

Product/Entry Information (from Entry Documents)

Entry Number:	334-70787459	Description of Product:	Hydraulic Excavator
Entry Date:	7/8/2023	Quantity and Units:	14 units
HS Number:		Total Value:	\$1,400,000.00

Exemption Claims/Applicability Observations

☐	Permanent label for "DELEGATED ASSEMBLY" (1068.261)	☐	Other:	
☐	Permanent label for test engine (1068.210)	☐	Permanent label for "SOLEY FOR EXPORT" (1068.230)	
☐	Permanent label for manufacturer-owned and, pre-certification, test engines (citing 1068.210 or 1068.215)	☐	Permanent label for "REPLACEMENT ENGINE" (new engine to replace in service engine) (1068.240)	

General Information (as needed)

Notes

Excavator serial number: YN17020378

User Manual/Instructions

Manual or instruction document observed? ☒ N Did you photograph the document(s)? ☐ Engine Displacement: (specify units)

Listed Model(s):		Rated Power:		(specify units)
Emissions Warranty? If Yes, how long?:		Rated Power RPM:		(e.g., @ 7,000 rpm)
Equipment Weight:		Type of Fuel:		(e.g., 91 octane, ULSD)

Emission Control Information Label

Check Yes (Y) or No (N)			
ECI Label Present:	Y	Company Information on ECI:	Yanmar Power Technology Co., Ltd.
Can ECI label be removed without destroying:	N	Engine Family:	PYDXL04.6HDA
Did you obtain a photo of the ECI label:	Y	Emission Control Devices Listed:	ECM, EM, EGR, DFI, TC, CAC, PTOX, OC, SCRC, AMOX
		Compliance Period (i.e., useful life):	
		Date of MFG:	Mar-23
		Displacement:	4.571 L
		Type of Fuel:	ULSD only
		MY(s) in statements:	2023
		Other Info on ECI: (as needed)	

Tune-up Specs Listed (Y/N):

	Yes, on label
Y	Refers to user manual
	Not referenced on label

Compliance statement observed? (yes/no and whether exhaust, evap, both):

Yes - exhaust

Notes:

Model 4TN107FTT-4WYB
Engine No. 08389

Visual Inspection Information

Type of Equipment:	Kobelco Hydraulic Excavator	Crankcase Ventilation Crankcase vented to atmosphere?: (4-stroke engines only, check Yes(Y) or No(N))
Model name:	SK210LC-11	
VIN/ESN:	YN17020378	
Build Date:		

Was Part on Engine?

Check Yes (Y) or No (N)	
DOC/OC:	Y
EGR:	Y
SCR/DEF:	Y
DPF/PTOX:	Y
Other (describe):	
Other (describe):	
Other (describe):	

Observed Part Number

Populate part numbers if visible. Mark "not visible" if not visible and include brand name if possible. Obtain photos of each part.

Notes

Field Inspection Summary

Evidence taken (indicate how it was marked):

n/a

Inspector Signature:	CARRIE GRIFFITH	Digitally signed by CARRIE GRIFFITH Date: 2023.09.14 20:15:48 -04'00'	Date:	
Supervisor Signature:	TODD GROENDYKE	Digitally signed by TODD GROENDYKE Date: 2023.09.15 10:14:33 -04'00'	Date:	